



THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT

Applicant: Mark A. Hoffman and
David P. McCallie, Jr.

Attorney Docket No. CRNC.83071

Art Unit:

Serial No. 09/981,248

Filed: October 16, 2001

Title:
COMPUTER SYSTEM FOR
PROVIDING INFORMATION
ABOUT THE RISK OF AN TYPICAL
CLINICAL EVENT BASED UPON
GENETIC INFORMATION

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20231, on:

5/10/02
Date

J. Dickman
Signature

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Enclosed herewith and listed on Form PTO-1449 (also enclosed) are photocopies of
patents and/or documents which Applicant requests be considered by the Examiner and made of
record.

U. S. Patent No. 5,833,599, issued November 10, 1998 to Schrier, Robert W. et al.

U. S. Patent No. 6, 081,786, issued June 27, 2000 to Barry, David W., et al.

U. S. Patent No. 6, 067,524, issued May 23, 2000 to Byerly, Baxter H., et al.

U. S. Patent No. 5,758,095, issued May 26, 1998 to Albaum, David, et al.

Lazarou, Jason, et al., "Incidence of Adverse Drug Reactions in Hospital Patients", JAMA, April 15, 1998, Vol. 279, No. 15.

Foubister, Vida, et al., "Expanded Genetic Testing Carries New Legal Risks", American Medical News, October 16, 2000, pp. 13-14.

Evans, William E., et al., "Pharmacogenomics: Translating Functional Genomics into Rational Therapeutics", Science Magazine, Vol. 286, No. 5439, October 15, 1999, pp. 487-491.

Meyer, Urs A., et al., "Molecular Mechanisms of Genetic Polymorphisms of Drug Metabolism", Annu. Rev. Pharmacol. Toxicol. 1997, 37:269-96.

Sundberg, Magnus Ingelman- et al., "Polymorphic Human Cytochrome P450 Enzymes: An Opportunity for Individualized Drug Treatment, 20th Century Advances in Drug Development, J. Clin Pharmacol.2000; 40:930-938.

The Commissioner is hereby authorized to charge any additional fees that are required, or credit any overpayment, to Deposit Account No. 19-2112.

Respectfully submitted,



Jean M. Dickman
Reg. No. 48,538

JMD:nlh

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816/474-6550

Attorney Docket No. CRNC.83071
Serial No. 09/981,248



Sheet 1 of 1

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| PEP-1449 (Modified) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT | Attorney Docket No.: CRNC.83071 | Serial Number: 09/981,248 |
| | Applicant: Mark A. Hoffman and David P. McCallie, Jr. | |
| | Filing Date: October 16, 2001 | Group: RECEIVED |

MAY 20 2002

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | PATENT NUMBER | ISSUE DATE | INVENTOR | CLASS | SUBCLASS | DATE |
|---------------------|------------------|---------------|----------------------------|-------|----------|----------|
| | 5,833,599 | 11/10/98 | Schrier, Robert W., et al. | | | 04/09/96 |
| | 6,081,786 | 06/27/00 | Barry, David W., et al. | | | 04/01/99 |
| | 6,067,524 | 05/23/00 | Byerly, Baxter H., et al. | | | 01/07/99 |
| | 5,758,095 | 05/26/98 | Albaum, David, et al. | | | 02/24/95 |
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FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

| EXAMINER INITIAL | DOCUMENT NUMBER | PUBLICATION DATE | COUNTRY OR PATENT OFFICE | CLASS | SUBCLASS | TRANSLATION | |
|---------------------|--------------------|---------------------|-----------------------------|-------|----------|-------------|----|
| | | | | | | YES | NO |
| | | | | | | | |
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OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

| | |
|---|---|
| / | Lazarou, Jason, et al., "Incidence of Adverse Drug Reactions in Hospital Patients," JAMA, April 15, 1998, Vol. 279, No. 15. |
| / | Foubister, Vida, et al., "Expanded Genetic Testing Carries New Legal Risks," American Medical News, October 16, 2000, pp. 13-14. |
| / | Evans, William E., et al., "Pharmacogenomics: Translating Functional Genomics into Rational Therapeutics," Science Magazine, Vol. 286, No. 5439, October 15, 1999, pp. 487-491. |
| / | Meyer, Urs A., et al., "Molecular Mechanisms of Genetic Polymorphisms of Drug Metabolism," Annu. Rev. Pharmacol. Toxicol. 1997, 37:269-96. |
| / | Sundberg, Magnus Ingelman- et al., "Polymorphic Human Cytochrome P450 Enzymes: An Opportunity for Individualized Drug Treatment, 20th Century Advances in Drug Development, J. Clin Pharmacol.2000; 40:930-938. |

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|----------|-----------------|
| EXAMINER | DATE CONSIDERED |
|----------|-----------------|

EXAMINER: Initial citation if reference was considered. Draw line through citation if not in conformance to MPEP 609 and not considered. Include copy of this form with next communication to applicant.